

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

2003P08417WOUS

U.S. APPLICATION NO. (1) Known, sep 3/ CF CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/011171 **OCTOBER 6, 2004 OCTOBER 6, 2003** TITLE OF INVENTION METHOD AND CONTROL DEVICE FOR OPERATING A MILL TRAIN FOR METAL STRIP APPLICANT(S) FOR DO/EO/US JOHANNES REINSCHKE Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. X The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. X is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). X An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. X A substitute specification. 15. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. X Other items or information: (a) RETURN RECEIPT POSTCARD; (b) A POWER OF ATTORNEY IS INCLUDED IN DECLARATION; (c) MARKED-UP COPY OF SUBSTITUTE SPECIFICATION; (d) COVER PAGE OF PCT APPLICATION PCT/EP2004/0111171; (e) INTERNATIONAL SEARCH REPORT; (f) INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY IN GERMAN AND ENGLISH

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, Including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop PCT**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP15 Rec'd PCT/PTO 36 APR 2006

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U.S. APPLICATION 10 1405, 574 CF 2) 3 INTERNATIONAL APPLICATION NO. PCT/EP2004/011171					ATTORNEY'S DOCKET NUMBER 2003P08417WOUS			
The following fees are submitted:						CALCULA	TIONS	PTO USE ONLY
21. X Basic national fee						\$ 3	300.00	
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$ 100  All other situations \$ 200						\$ 2	200.00	
23. X Search fee  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						`	100.00	
TOTAL OF 21, 22 AND 23 =						\$	900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra sheets		each additional 50 or fr nd up to a whole numb					
- 100 =	/50 =				X \$250			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest						s		-
claimed priority date (37 CFR 1.492(h)).  CLAIMS NUMBER FILED NUMBER EXTRA				RATE	s			
Total Claims	19 - 20	<del></del>	ONDERCEATION	× \$ 50		\$		
Independent claims	ependent claims 2 - 3			x \$200		\$		
					+ \$ 360	\$		
TOTAL OF ABOVE CALCULATIONS =								
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.								
SUBTOTAL =						\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$		
TOTAL NATIONAL FEE =						\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$		
TOTAL FEES ENCLOSED =						\$ 900.00		
						Amount to be refunded:	- 0	\$
						Amount to be		\$
				<del></del>		charged:		
a. A check in	in the amount of \$		to cover the	e above fe	es is enclosed.			
b. X Please charge my Deposit Account No. 19-2179 in the amount of \$ 900.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.								e above fees.
Account N		A duplica	ate copy of this sheet is	s enclosed	•		·	
	to be charged to a credit cal ded on this form. Provide of					dit card informat	tion should n	iot .
	propriate time limit under pre the International Applic			ı petition t	o revive (37 CFR 1.	137(a) or (b)) mu	ıst be filed	
SEND ALL CORRESPONDENCE TO: Siemens Corporation						SIGNATURE		
Intellectual Property Department 170 Wood Avenue South								
Iselin, NJ 08830 JOH 732-321-3026						IN P. MUSONE	_APRI	L 6, 2006
702 02 7 0020	NAME 07-736-6449		DATE					
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						REGISTRATION NUMBER		